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**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF CALIFORNIA**

SECURITIES AND EXCHANGE  
COMMISSION,

Plaintiff,

v.

TOTAL WEALTH  
MANAGEMENT, INC.; et al.,

Defendants.

Case No. 15-cv-226 BAS (DHB)

**ORDER GRANTING MOTION  
FOR ORDER IN AID OF  
RECEIVERSHIP**

**[ECF 21]**

The Motion of Receiver, Kristen A. Janulewicz (the "Receiver") for Order in Aid of Receivership ("Motion") was set for hearing on May 11, 2015. After reviewing the Motion and Plaintiff Securities and Exchange Commission's Response in Support of the Motion (ECF 24), the Court will rule on the papers submitted. Having reviewed and considered the Motion, and good cause appearing therefor, this Court orders as follows:

1. The Court **AUTHORIZES** the Receiver's engagement of Allen Matkins Leck Gamble Mallory & Natsis LLP ("Allen Matkins") as

1 her general receivership counsel, and **AUTHORIZES** the  
2 compensation of Allen Matkins, in accordance with Civil Local Rule  
3 66.1, and the terms presented in the Motion.

4 2. The Court **AUTHORIZES** the Receiver to implement the privacy  
5 protections detailed in the Motion, including:

6 a. Any certificate of service containing addresses of Receivership  
7 Entity (as defined in the Motion) investors will use only the  
8 first initial and last name of the investor, and the addresses will  
9 be redacted before any filing with the Court;

10 b. Any documents containing investor email information will be  
11 redacted before any filing with the Court;

12 c. With regard to any Proof of Claim or objection thereto, the  
13 Receiver shall redact the last four digits of any EIN (federal  
14 employer identification number) or social security number  
15 before any filing with the Court; and

16 d. The Receiver shall redact any personal account identifiers and,  
17 where appropriate, the names of any minor children before any  
18 filing with the Court.

19 3. The Court **AUTHORIZES** the Receiver to use her website,  
20 totalwealthreceiver.com, to post information about the instant  
21 receivership case and her activities, along with copies of all materials  
22 she files with the Court, and also to update the website regularly with  
23 materials filed in the case, notices to investors, and related  
24 information.

25 4. The Court **AUTHORIZES** the Receiver to:

26 a. Serve interested parties ,on matters requiring notice by  
27 electronic means, via the posting of such notices on her website  
28 and sending email notices to all interested parties with known

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email addressed; and

b. Post instructions on her website for how interested parties can request to receive hard copy service, so that if an email address is not available, such interested parties may request notices by mail, which they must request in writing. Any requested mailed notice shall subsequently provide that:

i. The operative pleadings relating to such notice may be viewed and printed from the Receiver's website or the Court's Pacer site; and

ii. Any interested party receiving such a requested notice may request paper (or email) copies of the entire related service package by contacting the Receiver in writing.

5. The Court **AUTHORIZES** the Receiver to:

a. Establish receivership bank accounts at any depository on the approved lists of depositories prepared by the United States Trustee's Office for the Southern District or Central District of California;

b. Submit Initial and Interim Reports to the Court approximately every 90 to 120 days, which shall be considered sufficient reporting on the status of such accounts; and

c. Designate and authorize additional persons, Thomas Seaman and/or Alison Juroe, at her company, to execute documents in the Receiver's stead, if necessary.

6. The Court **AUTHORIZES** the Receiver to:

a. Administer the estate of the Receivership Entities (again, as defined in the Motion) in accordance with relevant bankruptcy principles relating to such administrative matters, including the submissions of Interim Reports and applications for payment

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and fees and reimbursement of expenses;

- b. Submit Interim Reports approximately every 90 to 120 days;
- c. Submit, and have her professionals (including Allen Matkins) submit, applications for payment of fees and reimbursement of expenses approximately every 90 to 120 days.

**IT IS SO ORDERED.**

Dated: May 8, 2015



Hon. Cynthia Bashant  
United States District Judge